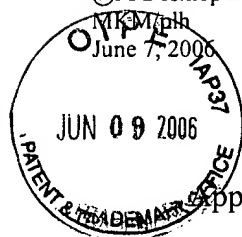


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IN THE UNITED STATES RECEIVING OFFICE (US/DO/EO)

Applicants: Maurizio Pacifici and Roshantha Chandraratna
Application No.: 09/552,823 Group: 1618
Filed: April 20, 2000 Examiner: Zohreh A. Fay
Confirmation No.: 3454

For: USE OF RETINOID RECEPTOR ANTAGONISTS OR AGONISTS IN
THE TREATMENT OF CARTILAGE AND BONE PATHOLOGIES

CERTIFICATE OF MAILING OR TRANSMISSION	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or is being facsimile transmitted to the United States Patent and Trademark Office on:	
June 7, 2006	Patricia L. Hillery
Date	Signature
Patricia L. Hillery	
Typed or printed name of person signing certificate	

TRANSMITTAL OF POWERS OF ATTORNEY AND
STATEMENTS UNDER 37 CFR § 3.73(b)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Enclosed for filing are two (2) executed Powers of Attorney and two (2) Statements Under 37 CFR § 3.73(b).

Please charge any deficiency or credit any overpayment in the fees that may be due in this matter to Deposit Account No. 08-0380. A copy of this letter is enclosed for accounting purposes.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By Mary K. Murray
Mary K. Murray
Registration No.: 47,813
Telephone (978) 341-0036
Facsimile (978) 341-0136

Concord, Massachusetts 01742-9133

Date: June 7, 2006

JUN 09 2006

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

**REVOCATION OF POWER OF
ATTORNEY WITH
NEW POWER OF ATTORNEY
and
CHANGE OF CORRESPONDENCE
ADDRESS**

Application/Patent Number	09/552,823
Filing/Issue Date	April 20, 2000
First Named Inventor/Patentee	Maurizio Pacifici
Confirmation Number	3454
Group Art Unit	1618
Examiner Name	Zohreh A. Fay
Attorney Docket Number	3774.1026-008

Title

USE OF RETINOID RECEPTOR ANTAGONISTS OR AGONISTS IN THE TREATMENT OF CARTILAGE AND BONE PATHOLOGIES

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ I hereby appoint the following practitioner(s): [Not to exceed 10]

OR

☒ I hereby appoint the practitioners associated with the Customer Number: **021005**

Please change the correspondence address for the above-identified application to:

☒ **Customer Number 021005**

Hamilton, Brook, Smith & Reynolds, P.C.

530 Virginia Road

P.O. Box 9133

Concord, Massachusetts 01742-9133

☐ Other _____

Please direct all telephone calls and facsimiles to:

Name Mary K. Murray, Esq. Tel. No. 978-341-0036 Fax No. 978-341-0136

I am the:

☐ Applicant/Inventor.

☐ Authorized representative of the Assignee, **[FILL IN WITH NAME OF ASSIGNEE]**, of the entire interest. See 37 C.F.R. § 3.71. A Statement under 37 C.F.R. § 3.73(b) is enclosed.

☒ Authorized representative of the Assignee, **Allergan, Inc.**, together with **The Trustees of the University of Pennsylvania**, of the entire interest. A Statement under 37 C.F.R. § 3.73(b) is enclosed.

SIGNATURE of Applicant or Assignee of Record

Signature

Robert J. Baran

Name & Title

Vice President, Assistant General Counsel

Date

May 15, 2006

STATEMENT UNDER 37 C.F.R. § 3.73(b)Applicant/Patentee: Maurizio Pacifici and Roshantha ChandraratnaApplication No./Patent No.: 09/552,823 Filed/Issue Date: April 20, 2000For: Use of Retinoid Receptor Antagonists or Agonists in the Treatment of Cartilage and Bone PathologiesAllergan, Inc., a corporation
(Name of Assignee) Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is

- A. ☐ the assignee of the entire right, title and interest in the patent application identified above; or
- B. ☒ an assignee together with The Trustees of the University of Pennsylvania of the entire right, title and interest in the patent application identified above.

The right, title and interest of the above-named assignee in the patent application identified above is established by virtue of:

- A. ☐ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel ☐, Frame ☐, or a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s) of the patent application identified above, to the current assignee as shown below:

1. From: Maurizio Pacifici and Roshantha A. Chandraratna To: Allergan, Inc.
The document was recorded in the United States Patent and Trademark Office at Reel 010755, Frames 0358-0361, or a copy thereof is attached.
2. From: Maurizio Pacifici and Roshantha A. Chandraratna To: Allergan Sales, Inc.
The document was recorded in the United States Patent and Trademark Office at Reel 011136, Frames 0972-0978, or a copy thereof is attached.
3. From: Maurizio Pacifici and Roshantha A. Chandraratna To: Allergan Sales, Inc.
The document was recorded in the United States Patent and Trademark Office at Reel 011537, Frames 0584-0590, or a copy thereof is attached.

☒ Additional documents in the chain of title are listed on a supplemental sheet.

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature RJ BaranName: Robert J. BaranTitle: Vice President, Assistant General CounselDate: May 15, 2006

4. From: Allergan Sales, Inc. To: Allergan, Inc.
The document was recorded in the United States Patent and Trademark Office at Reel 013898, Frames 0170-0186, or a copy thereof is attached.
5. From: Allergan, Inc. To: The Trustees of the University of Pennsylvania.
The document was recorded in the United States Patent and Trademark Office at Reel 017279, Frames 0781-0784, or a copy thereof is attached.
6. From: Allergan, Inc. To: The Trustees of the University of Pennsylvania.
The document was recorded in the United States Patent and Trademark Office at Reel 017280, Frames 0017-0019, or a copy thereof is attached.
7. Corrective Coversheet from: Allergan, Inc. To: The Trustees of the University of Pennsylvania.
The document was recorded in the United States Patent and Trademark Office at Reel 017358, Frames 0979-0983, or a copy thereof is attached.
7. Corrective Coversheet from: Allergan, Inc. To: The Trustees of the University of Pennsylvania.
The document was recorded in the United States Patent and Trademark Office at Reel 017358, Frames 0987-0991, or a copy thereof is attached.



HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

REVOCATION OF POWER OF ATTORNEY WITH NEW POWER OF ATTORNEY and CHANGE OF CORRESPONDENCE ADDRESS

Application/Patent Number	09/552,823
Filing/Issue Date	April 20, 2000
First Named Inventor/Patentee	Maurizio Pacifici
Confirmation Number	3454
Group Art Unit	1618
Examiner Name	Zohreh A. Fay
Attorney Docket Number	3774.1026-008

Title USE OF RETINOID RECEPTOR ANTAGONISTS OR AGONISTS IN THE TREATMENT OF CARTILAGE AND BONE PATHOLOGIES

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☐ I hereby appoint the following practitioner(s): [Not to exceed 10]

OR

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530 Virginia Road

P.O. Box 9133

Concord, Massachusetts 01742-9133

☐ Other _____

Please direct all telephone calls and facsimiles to:

Name Mary K. Murray, Esq. Tel. No. 978-341-0036 Fax No. 978-341-0136

I am the:

☐ Applicant/Inventor.

☐ Authorized representative of the Assignee, **[FILL IN WITH NAME OF ASSIGNEE]**, of the entire interest. See 37 C.F.R. § 3.71. A Statement under 37 C.F.R. § 3.73(b) is enclosed.

☒ Authorized representative of the Assignee, **Allergan, Inc.**, together with **The Trustees of the University of Pennsylvania**, of the entire interest. A Statement under 37 C.F.R. § 3.73(b) is enclosed.

SIGNATURE of Applicant or Assignee of Record

Signature

Name & Title

Date

Timothy J. Raynor

Director, Intellectual Property

University of Pennsylvania

6/5/06



Docket No. 3774.1026-008

STATEMENT UNDER 37 C.F.R. § 3.73(b)

Applicant/Patentee: Maurizio Pacifici and Roshantha Chandraratna

Application No./Patent No.: 09/552,823 Filed/Issue Date: April 20, 2000

For: Use of Retinoid Receptor Antagonists or Agonists in the Treatment of Cartilage and Bone Pathologies

Allergan, Inc., a corporation
(Name of Assignee) Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is

- A. ☐ the assignee of the entire right, title and interest in the patent application identified above; or
- B. ☒ an assignee together with The Trustees of the University of Pennsylvania of the entire right, title and interest in the patent application identified above.

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OR

- B. ☒ A chain of title from the inventor(s) of the patent application identified above, to the current assignee as shown below:

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☒ Additional documents in the chain of title are listed on a supplemental sheet.

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature 

Name: Timothy J. Rayner
Director, Intellectual Property

Title: University of Pennsylvania

Date: 6/5/06

4. From: Allergan Sales, Inc. To: Allergan, Inc.

The document was recorded in the United States Patent and Trademark Office at Reel 013898, Frames 0170-0186, or a copy thereof is attached.

5. From: Allergan, Inc. To: The Trustees of the University of Pennsylvania.
The document was recorded in the United States Patent and Trademark Office at Reel 017279, Frames 0781-0784, or a copy thereof is attached.
6. From: Allergan, Inc. To: The Trustees of the University of Pennsylvania.
The document was recorded in the United States Patent and Trademark Office at Reel 017280, Frames 0017-0019, or a copy thereof is attached.
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